



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION

Applicant: Barber et al.

Serial No.: 09/682,377

Filed: 08/27/2001

Title: PREVENTION OF CONTAMINATION  
ON PLATED WAFER SURFACES

Art Unit: Unknown

Examiner: Unknown

Atty. Docket: DE9-2000-0069-US1

RECEIVED  
TC 1700  
SEP 21 2001

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.56, 1.97, 1.98

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Applicants submit herewith form PTO-1449, listing patents, publications, or other information of which they are aware which they believe may be material to patentability pursuant to 37 CFR 1.56(b), and in respect of which there may be a duty to disclose under 37 CFR 1.56(a), together with legible copies of the patents, publications, or other information listed.

While the items submitted with this Information Disclosure Statement may be material to patentability pursuant to 37 CFR 1.56, in accordance with 37 CFR 1.97(h) it shall not be construed to be an admission that any patent, publication, or other information cited is "prior art" or is material to patentability for this invention unless specifically designated as such. In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other information material to patentability, as defined in 37 CFR 1.56(b), exists.

Respectfully submitted,

Date: 8/27/01

By: Armand J. Walsh  
Reg. 21,832  
*fm*

Robert A. Walsh, Reg. #26,516  
IP Law Department  
IBM Corp.  
1000 River Street  
Essex Junction, VT 05452  
Tel.: 802-769-9521

RECEIVED  
SEP 24 2001  
TC 1700

1700  
2280  
0500

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. DE9-2000-0069-US1
---	--	---------------------------------

In Re Application Of: Barber et al.

1741

Serial No. 09/682,377	Filing Date 08/27/2001	Examiner Unknown	Group Art Unit Unknown
--------------------------	---------------------------	---------------------	---------------------------

Title: PREVENTION OF CONTAMINATION ON PLATED WAFER SURFACES



Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
TC 1700  
SEP 21 2001

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

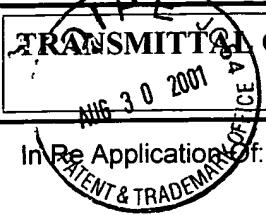
OR

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

RECEIVED

SEP 24 2001

TC 1700



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
DE9-2000-0069-US1

In Re Application of: Barber et al.

Serial No. 09/682,377	Filing Date 08/27/2001	Examiner Unknown	Group Art Unit Unknown
Title: PREVENTION OF CONTAMINATION ON PLATED WAFER SURFACES			

RECEIVED  
TC 1700  
SEP 21 2001

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0456 as described below. A duplicate copy of this sheet is enclosed.
  - Charge the amount of \_\_\_\_\_
  - Credit any overpayment.
  - Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.

) on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 08/28/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

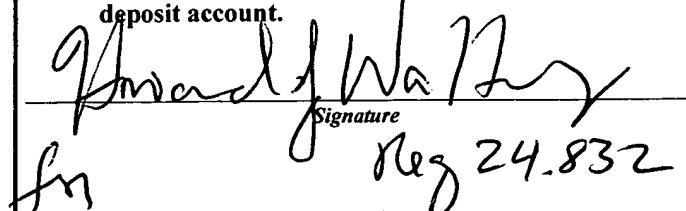


Signature of Person Mailing Correspondence



Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

  
Signature  
Reg 24,832

Robert A. Walsh, Reg. #26,516  
IP Law Department  
IBM Corporation  
1000 River Street  
Essex Junction, VT 05452  
Telephone: 802-769-9521

Dated:

8/27/01

RECEIVED

SEP 24 2001

TC 1700

CC: